# <span id="page-0-0"></span>Discrete Laplacian on Graphs, Dirichlet Problem, and Minimization of Energy

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#### Abstract

This report is primarily interested in discrete Laplacian on graphs and Dirichlet problem. We investigate two cases of graphs, their Dirichlet problems, and the method of finding solutions to such problems by minimization of their corresponding energy functionals. In the case of an infinite, locally-finite, undirected, simple graph, we find that the minimization of its energy functional yields a solution to its Dirichlet or boundary-value problem. In the case of finite, directed, locally-finite decision tree, we propose a type of such tree specified by a chosen vertex with no predecessors and by a chosen boundary set of vertices that have no successors. We then further consider a special case of such construction where all the paths of the tree terminate at the same level. We find that the minimization of such special case's energy functional yields a solution to its Dirichlet problem only when some additional conditions on and close to the boundary (level of termination) are met.

# 1. Introduction

### 1.1. Motivation

In data science, regression and classification problems start with a given set of data. In the collection, some data are labeled and some are not labeled. In supervised learning, we train a model on data that have labels, learning a mapping between inputs and their corresponding labels, and then make prediction for unlabeled data. On the other hand, in semi-supervised learning, we train a model on both the labeled data and unlabeled data of a given data set. We use semi-supervised learning more often than supervised-learning when the data set contains a larger amount of unlabeled data than labeled data. There are various semi-supervised learning techniques, including graph-based methods. In this paper, we study special cases of the graph-based methods.

Let us consider a given data set V where  $\partial V \subset V$  are labeled and  $V \setminus \partial V$  are unlabeled. Let  $u: V \to \mathbb{R}$  be an unknown real-valued labeling function on the set. The data in  $\partial V \subset V$  are labeled, in other words, known by a real-valued function  $f : \partial V \to \mathbb{R}$ . From [\[2\]](#page-18-0), we know that since there are arbitrarily many ways to extend the labels and the problem is ill-posed without some additional assumptions, it is standard to make

semi-supervising smoothness assumption, which states that "the degree of smoothness should be locally proportional to the density of graph." One approach is Laplacian regularization, which leads to energy minimization and Dirichlet problem for discrete Laplacian on graphs, which are the primary interests in this paper.

### 1.2. Some definitions of graphs

**Definition 1.1** (Undirected graph). An undirected graph  $G := \{V, E\}$  is a graph whose edges are a union of some subsets of the collection of all two-element subsets of vertex set  $V$ , that is,

for all  $i \in I$  where I is an arbitrary index set

$$
E \coloneqq \bigcup_{i \in I} S_i
$$

where

$$
S_i \subseteq \Big\{\{a,b\} \mid a,b \in V\Big\}
$$

Definition 1.2 (Simple graph). A *simple graph* is a graph that contains at most one edge between any two vertices and no loops on any vertex itself.

**Definition 1.3** (Directed graph, or Digraph). A *directed graph*  $G := \{V, E\}$  is a graph whose edges are a union of some subsets of the collection of all ordered pairs of the vertex set  $V$ . Each edge is thought of having an initial vertex and a terminal vertex, that is, for all  $i \in I$  where I is an arbitrary index set,

$$
E \coloneqq \bigcup_{i \in I} S_i
$$

where

$$
S_i \subseteq \Big\{(a,b) \mid a,b \in V\Big\}
$$

**Definition 1.4** (Infinite graph). An *infinite graph* is a graph with the set of vertices being countably infinite.

Definition 1.5 (Locally-finite graph). A graph is *locally finite* if all its vertices have finite degrees, that is, a finite number of neighbors.

# <span id="page-1-1"></span>2. Case of infinite, locally-finite, undirected, simple graph

### <span id="page-1-0"></span>2.1. Graph setup

Let us consider an infinite, locally-finite, undirected, simple graph with a countably infinite set of vertices V and a proper, nonempty subset of V, which we call the *boundary* of V, denoted by  $\partial V \subsetneq V$ , and let us consider that for every element (vertex) v of V,

there is a unique neighborhood consisting of  $n_v \in \mathbb{N}^1$  $n_v \in \mathbb{N}^1$  adjacent, neighboring vertices (thus, locally finite), denoted by  $S_v := \{v_i\}_{i=1}^{n_v}$ , where  $v_i$ 's are called the *successors* of the vertex v, with each  $v_i$  connecting to v by an edge. Let us denote the *cardinality* of  $S_v$  as  $n_v$ .

<span id="page-2-0"></span>**Proposition 2.1.** Given a Graph  $G := \{V, E\}$  from [Section 2.1,](#page-1-0) then for any  $a, b \in V$ , we have

$$
a \in S_b \iff b \in S_a
$$

*Proof.* For any  $a, b \in V$ ,  $a \in S_b \iff \{a, b\} \in E \iff b \in S_a$ 

Proposition 2.2. [Proposition 2.1](#page-2-0) implies that: Given any element  $a \in V$ , then for any  $b \in S_a$ , we have

$$
b \in S_a \iff a \in S_b
$$

*Proof.* Given  $a \in V$ , by [Proposition 2.1,](#page-2-0) there exists a unique neighborhood of  $a, S_a$ . For any  $b \in S_a$ , we have that  $b \in V$  and that there exists a unique  $S_b$  by [Proposition 2.1,](#page-2-0) and then  $b \in S_a \iff a \in S_b$  $\Box$ 

Let us define a function:

$$
u \colon V \to \mathbb{R} \tag{1}
$$

 $\Box$ 

We definite the following discrete analogies, which we took inspirations from [\[6\]](#page-18-1), to their corresponding continuous operations:

**Definition 2.1.** The *gradient* of function  $u(v)$ :

<span id="page-2-1"></span>
$$
\nabla u(v) \coloneqq (u(v_i) - u(v))_{i=1}^{n_v}
$$
\n
$$
(2)
$$

**Definition 2.2.** The divergence of  $\nabla u(v)$ :

<span id="page-2-2"></span>
$$
\nabla \cdot (\nabla u(v)) = \nabla \cdot \nabla u(v) = \Delta_2 u(v) = \Delta u(v) \coloneqq \sum_{i=1}^{n_v} (u(v_i) - u(v)) \tag{3}
$$

**Definition 2.3.** The *integral* of  $u(v)$ :

<span id="page-2-3"></span>
$$
\int_{V} u(v) := \sum_{v \in V} u(v) \tag{4}
$$

**Definition 2.4.** The  $L_2$ -norm, or the *Euclidean norm*, is as usual:

<span id="page-2-4"></span>
$$
|\nabla u(v)|^2 := \sum_{i=1}^{n_v} (u(v_i) - u(v))^2
$$
\n(5)

<sup>&</sup>lt;sup>1</sup>Note:  $\mathbb N$  in this paper does not contain the element 0.

### 2.2. Dirichlet problem

### <span id="page-3-0"></span>2.2.1 Dirichlet problem on p-Laplacian

The Dirichlet problem is a concept in partial differential equations involving finding a solution to a given partial differential equations subject to specified boundary conditions. In particular, the Dirichlet problem on p-Laplacian equation has the following setup, see [\[2\]](#page-18-0):

Find  $u: U \subseteq \mathbb{R}^n \to \mathbb{R}$  such that for p where  $\in 1 < p < \infty$  and for  $f: \partial U \to \mathbb{R}$ , we have that

$$
\begin{cases} \nabla \cdot (|\nabla u|^{p-2} \nabla u) \coloneqq \Delta_p u = 0, & \text{in } U \\ u = f, & \text{on } \partial U \end{cases}
$$
 (6)

seeks to find a p-harmonic function subject to specified boundary conditions.

#### 2.2.2 Variational method and minimization of energy functional

In calculus of variations, the first variation method minimizing an energy functional defined on all smooth functions u satisfying specified boundary conditions gives rise to some solutions to a given partial differential equation. In the context of the Dirichlet problem on regular, 2-Laplacian in [Section 2.2.1,](#page-3-0) the energy functional has the following definition, see [\[3\]](#page-18-2):

**Definition 2.5** (Energy functional). Given  $f : \partial U \to \mathbb{R}$ , the associated energy functional to the Dirichlet problem in [Section 2.2.1](#page-3-0) for  $p = 2$  is defined as:

$$
I[w] \coloneqq \frac{1}{2} \int_{U} |\nabla u(x)|^2 dx \tag{7}
$$

where  $w \in \mathcal{A} \coloneqq \{w \in C^2(U) \mid w = f \text{ on } \partial U\}$ 

Following, the minimization of energy has the following set up:

<span id="page-3-1"></span>
$$
I[u] := \min_{w \in \mathcal{A}} I[w] \tag{8}
$$

There is a theorem for the Dirichlet problem on Laplacian equation pertaining to finding a harmonic solution that minimizes the energy functional:

<span id="page-3-3"></span>**Theorem 2.1.** If  $u \in \mathcal{A}$  satisfies [Eq.](#page-3-1) (8), then u solves the Dirichlet problem in [Sec](#page-3-0)[tion 2.2.1](#page-3-0) for  $p = 2$ , i.e.,  $\Delta u = 0$  in U.

<span id="page-3-2"></span>In summary, by minimizing the energy functional associated with the Laplacian equation over all smooth functions satisfying specified boundary conditions, a function, if it exists, that minimizes the energy functional is a solution to the Laplace equation, and thus, a solution to the Dirichlet problem on the Laplacian equation.

#### 2.2.3 Dirichlet problem on graph setup in [Section 2.1](#page-1-0)

In the discrete analogy to regular, 2−Laplacian and specifically for the setup in [Sec](#page-1-0)[tion 2.1,](#page-1-0) the Dirichlet problem becomes:

Find  $u: V \to \mathbb{R}$  such that for  $f: \partial V \to \mathbb{R}$ , we have that

$$
\begin{cases} \Delta u = 0, & \text{in } V \setminus \partial V \\ u = f, & \text{on } \partial V \end{cases}
$$
 (9)

For the uniqueness and existence of general, p-harmonious functions on finite graphs, see [\[4\]](#page-18-3). For the uniqueness and existence and results related to connected, finite graphs with drift via games, see [\[5\]](#page-18-4).

### <span id="page-4-1"></span>2.2.4 Minimization of energy on graph setup in [Section 2.1](#page-1-0)

Given the setup for infinite, locally-finite, undirected, simple graphs in [Section 2.1,](#page-1-0) let us first define the energy functional associated with its Dirichlet Problem defined in [Section 2.2.3.](#page-3-2)

**Definition 2.6** (Energy functional). Given  $f: \partial V \to \mathbb{R}$ , the associated energy functional of the graph setup in [Section 2.1](#page-1-0) to its Dirichlet problem [\(Section 2.2.3\)](#page-3-2) is defined as:

$$
I[w] = \sum_{v \in V} |\nabla w(v)|^2 \tag{10}
$$

where  $w \in \mathcal{A} \coloneqq \{w: V \to \mathbb{R} \mid w = f \text{ on } \partial V\}$ 

Consequently, the minimization of energy has the following setup:

$$
I[u] := \min_{w \in \mathcal{A}} I[w] \tag{11}
$$

The discrete version of [Theorem 2.1](#page-3-3) for the graph setup in [Section 2.1](#page-1-0) is similar. Let us first present a lemma and a proposition.

<span id="page-4-0"></span>**Lemma 2.2.** Given  $\phi: V \to \mathbb{R}$  such that there exists a support set supp $(\phi) \coloneqq \{v \in V \mid$  $\phi(v) \neq 0$  and given  $u: V \to \mathbb{R}$ ,

if supp $(\phi) = \{v_0\}$  where  $v_0 \in V \setminus \partial V$ , then

$$
\int_{V} \nabla u \cdot \nabla \phi = -2\phi(v_0) \Delta u(v_0)
$$

*Proof.* We want to show that  $\sum_{v \in V} \nabla u \cdot \nabla \phi = -2\phi(v_0) \Delta u(v_0)$ .

$$
\sum_{v \in V} \nabla u \cdot \nabla \phi = \sum_{v \in V} \sum_{i=1}^{n_v} (u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$

We know that  $(\phi(v_i) - \phi(v)) = 0$  for  $v \in V$  such that  $v_0 \notin \{v\} \cup S_v$  holds. Equivalently,  $(\phi(v_i) - \phi(v)) \neq 0$  for  $v \in V$  such that  $v_0 \in \{v\} \cup S_v$ . We also know that the statement that for all  $v \in V$  such that  $v_0 \in \{v\} \cup S_v$  is equivalent to the statement that for all  $v \in V$ such that  $v_0 = v$  or  $v_0 \in S_v$ , which is equivalent to  $v = v_0$  or  $v \in S_{v_0}$  by [Proposition 2.1,](#page-2-0) which is eqivalent to  $v \in \{v_0\} \cup S_{v_0}$ . Thus, we have

$$
= \sum_{v \in v_0 \cup S_{v_0}} \sum_{i=1}^{n_v} (u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$
  
\n
$$
= \sum_{i=1}^{n_{v_0}} (u(v_{0_i}) - u(v_0)(\phi(v_{0_i}) - \phi(v_0)) + \sum_{v \in S_{v_0}} \sum_{i=1}^{n_v} (u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$
  
\n
$$
= -\phi(v_0)\Delta u(v_0) + \sum_{j=1}^{n_{v_0}} \sum_{i=1}^{n_{v_0}} (u(v_{0ji}) - u(v_{0j}))(\phi(v_{0ji}) - \phi(v_{0j}))
$$

where  $S_{v_0} := \{v_{0j}\}_{j=1}^{n_{v_0}}$  and  $S_{v_{0j}} := \{v_{0j_i}\}_{i=1}^{n_{v_{0j}}}$ .  $\phi(v_{0j}) \equiv 0$  since  $v_{0j}$  are successors of  $v_0$ , and the graph is simple, which has no loops. Thus, we have

$$
= -\phi(v_0)\Delta u(v_0) + \sum_{j=1}^{n_{v_0}} \sum_{i=1}^{n_{v_{0j}}} (u(v_{0ji}) - u(v_{0j}))(\phi(v_{0ji}))
$$

By [Proposition 2.1](#page-2-0) we know that for every  $j$  there exists a unique (a simple graph has no duplicate edges) i such that  $v_{0ji} = v_0 \in S_{v_{0j}}$ , so we have

$$
= -\phi(v_0)\Delta u(v_0) + \sum_{i=1}^{n_{v_0}} (u(v_0) - u(v_{0j}))(\phi(v_0))
$$
  

$$
= -\phi(v_0)\Delta u(v_0) - \sum_{i=1}^{n_{v_0}} (u(v_{0j}) - u(v_0))(\phi(v_0))
$$
  

$$
= -\phi(v_0)\Delta u(v_0) - \phi(v_0)\Delta u(v_0) = -2\phi(v_0)\Delta u(v_0)
$$

We will see next that a similar result holds for the case when the support set is finite and nonempty.

 $\Box$ 

**Proposition 2.3.** Given  $\phi: V \to \mathbb{R}$  such that there exists a support set supp $(\phi) \coloneqq \{v \in V\}$  $V | \phi(v) \neq 0$  and given  $u: V \to \mathbb{R}$ ,

if supp $(\phi)$  is finite and nonempty, i.e., the cardinality of supp $(\phi) = n \in \mathbb{N}$ , then

$$
\int_{V} \nabla u \cdot \nabla \phi = -2 \int_{V} \phi \Delta u
$$

*Proof.* We want to show that  $\sum_{v \in V} \nabla u(v) \cdot \nabla \phi(v) = -2 \sum_{v \in V} \phi(v) \Delta u(v)$ .

We are given a finite support set,  $supp(\phi)$ . Let  $supp(\phi) = \{v_1, v_2, v_3, \ldots, v_n\}$  where  $n \in \mathbb{N}$ . Note that  $\text{supp}(\phi) \subseteq V \setminus \partial V$  because  $\phi(v) = 0$  for all  $v \in \partial V$ .

Let us define functions  $\phi(v_i): V \to \mathbb{R}$ :

$$
\phi_i(v) = \begin{cases} \phi(v), & v = v_i \\ 0, & v \neq v_i \end{cases}
$$

for all  $i \in \{1, 2, \ldots, n\}$  and  $v_i \in \text{supp}(\phi)$ .

Thus, we can write function  $\phi$  as:

$$
\phi(v) = \begin{cases} \phi_i(v), & v = v_i \\ 0, & v \neq v_i \end{cases}
$$

for all  $i \in \{1, 2, \ldots, n\}$  and  $v_i \in \text{supp}(\phi)$ .

Then, we can also write  $\phi(v) = \sum_{i=1}^n \phi_i(v)$ . Let us show that  $\nabla \phi(v) = \sum_{i=1}^n \nabla \phi_i(v)$ .

$$
\nabla \phi(v) = (\phi(v_j) - \phi(v))_{j=1,2,...,n_v}
$$
  
= 
$$
\left(\sum_{i=1}^n \phi_i(v_j) - \sum_{i=1}^n \phi_i(v)\right)_{j=1,2,...,n_v}
$$
  
= 
$$
\sum_{i=1}^n (\phi_i(v_j) - \phi_i(v))_{j=1,2,...,n_v} = \sum_{i=1}^n \nabla \phi_i(v)
$$

Let us go back to the proof:

$$
\int_{V} \nabla u \cdot \nabla \phi = \sum_{v \in V} \sum_{j=1}^{n_v} (u(v_j) - u(v)) (\phi(v_j) - \phi(v))
$$
\n
$$
= \sum_{v \in V} \sum_{j=1}^{n_v} (u(v_j) - u(v)) \sum_{i=1}^{n} (\phi_i(v_j) - \phi_i(v))
$$
\n
$$
= \sum_{i=1}^{n} \Big( \sum_{v \in V} \sum_{j=1}^{n_v} (u(v_j) - u(v)) (\phi_i(v_j) - \phi_i(v)) \Big)
$$
\n
$$
= \sum_{i=1}^{n} \Big( \int_{V} \nabla u \cdot \nabla \phi_i \Big)
$$

Since  $v_i \in V \setminus \partial V$  and supp $(\phi_i) = \{v_i\}$ , we can apply [Lemma 2.2,](#page-4-0) and we obtain

$$
= \sum_{i=1}^{n} (-2\phi_i(v_i)\Delta u(v_i)) = -2\sum_{i=1}^{n} \phi_i(v_i)\Delta u(v_i) = -2\sum_{i=1}^{n} \phi(v_i)\Delta u(v_i)
$$

$$
= -2\left(\sum_{i=1}^{n} \phi(v_i)\Delta u(v_i) + \sum_{y \in V \setminus \text{supp}(\phi)} \phi(y)\Delta u(y)\right)
$$

$$
= -2\left(\sum_{v \in V} \phi(v)\Delta u(v)\right) = -2\int_V \phi(v)\Delta u(v)
$$

<span id="page-7-0"></span>**Theorem 2.3.** Given a boundary condition  $f : \partial V \to \mathbb{R}$ , if  $u \in \mathcal{A}$  satisfies

$$
I[u] := \min_{w \in \mathcal{A}} I[w]
$$
  
where  $w \in \mathcal{A} := \{w : V \to \mathbb{R} \mid w = f \text{ on } \partial V\},\$ 

then

$$
\Delta u = 0 \text{ in } V \setminus \partial V.
$$

In other words, u is then a solution to the Dirichlet problem on the graph setup in [Sec](#page-1-0)[tion 2.1.](#page-1-0)

*Proof.* Suppose we are given a boundary condition  $f: \partial V \to \mathbb{R}$ , and suppose  $u \in A$ minimizes  $I[w]$  over all  $w \in \mathcal{A}$ , then for all  $w \in \mathcal{A}$ , we have  $I[u] \leq I[w]$ .

Let us fix a function  $\phi: V \to \mathbb{R}$  such that  $\phi = 0$  on  $\partial V$ . Thus, for all  $t \in \mathbb{R}$ , we have  $(u+t\phi): V \to \mathbb{R}$  where  $(u+t\phi)(v) = u(v)+t\phi(v)$  for all  $v \in V$ , and we have  $u+t\phi = u = f$ on  $\partial V$ . Thus, for all  $t \in \mathbb{R}$ , we have  $u + t\phi \in \mathcal{A}$ . In consequence, we have

$$
I[u] \le I[u + t\phi] \quad \text{for all } t \in \mathbb{R}.
$$

Let  $i(t) \coloneqq I[u + t\phi]$  for all  $t \in \mathbb{R}$ . Then,

$$
I[u] = i(0) \le I[u + t\phi] = i(t) \text{ for all } t \in \mathbb{R}.
$$

Thus, we see that 0 is the point of absolute minimum for  $i(t)$  and that  $i'(0) = 0$ . Let us further restrict  $\phi$  to be a function such that there exists a finite support set supp $(\phi)$  :=  $\{v \in V \mid \phi(v) \neq 0\} = \{v_0\}$  where  $v_0 \in V \setminus \partial V$ . Then,

$$
i(t) = I[u + t\phi] = \sum_{v \in V} |\nabla(u + t\phi)(v)|^2 = \sum_{v \in V} \sum_{i=1}^{n_v} [(u + t\phi)(v_i) - (u + t\phi)(v)]^2
$$
  
\n
$$
= \sum_{v \in V} \sum_{i=1}^{n_v} [(u(v_i) - u(v)) + t(\phi(v_i) - \phi(v))]^2 = \sum_{v \in V} \sum_{i=1}^{n_v} |\nabla u + t\nabla \phi|^2
$$
  
\n
$$
i'(t) = \frac{d}{dt} \sum_{v \in V} \sum_{i=1}^{n_v} (u(v_i) - u(v))^2 + \sum_{v \in V} \sum_{i=1}^{n_v} 2t(u(v_i) - u(v))(\phi(v_i) - \phi(v)) + \sum_{v \in V} \sum_{i=1}^{n_v} t^2(\phi(v_i) - \phi(v))^2
$$
  
\n
$$
= \sum_{v \in V} \sum_{i=1}^{n_v} 2(u(v_i) - u(v))(\phi(v_i) - \phi(v)) + \sum_{v \in V} \sum_{i=1}^{n_v} 2t(\phi(v_i) - \phi(v))^2
$$
  
\n
$$
i'(t)|_{t=0} = \sum_{v \in V} \sum_{i=1}^{n_v} 2(u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$
  
\nSet  $i'(0) = 0$ :  
\n
$$
i''(t) = 0
$$
:

$$
i'(0) = \sum_{v \in V} \sum_{i=1}^{n_v} (u(v_i) - u(v))(\phi(v_i) - \phi(v)) = \sum_{v \in V} \nabla u \cdot \nabla \phi = 0 = \int_V \nabla u \cdot \nabla \phi = 0
$$

By [Lemma 2.2,](#page-4-0) we know that

$$
\int_{V} \nabla u \cdot \nabla \phi = -2\phi(v_0) \Delta u(v_0)
$$

Thus,

$$
-2\phi(v_0)\Delta u(v_0)=0
$$

Let us choose function  $\phi$  to be such that: Given  $v_0 \in V \setminus \partial V$ ,

$$
\phi(v_0) = \begin{cases} 1, & v = v_0 \\ 0, & v \neq v_0 \end{cases}
$$

Then,

$$
\Delta u(v_0) = 0 \quad \text{for all } v_0 \in V \setminus \partial V.
$$

Thus, u restricted to  $V \setminus \partial V$ , i.e.,  $u|_{V \setminus \partial V}$ , is harmonic and u is a solution to the Dirichlet problem [\(Section 2.2.3\)](#page-3-2).

To summarize, by considering the case of infinite, locally-finite, undirected simple graph, we can show that given a boundary condition on the graph, the minimizer to the graph's associated energy functional is a solution to the graph's Dirichlet problem.

# <span id="page-8-0"></span>3. Case of finite, directed, locally-finite decision tree

### 3.1. Tree setup

We will begin the setup with several similar definitions in graph theory. For general reference to the subject, see [\[1\]](#page-18-5).

Definition 3.1 (Walk in a simple, undirected graph). In a simple, undirected graph  $G \coloneqq \{V, E\}$ , a walk from vertex  $v_0$  to vertex  $v_n$  is a sequence

$$
W \coloneqq (v_0, v_1, v_2, \dots, v_n)
$$

where for all i where  $0 \leq i \leq n-1$ , we have that  $v_i, v_{i+1}$  in the walk are such that  $\{v_i, v_{i+1}\}\in E$ . An undirected walk W from vertex u to vertex v is also called a  $u - v$ walk.

**Definition 3.2** (Walk in a simple, directed graph). In a simple, directed graph  $G \coloneqq$  $\{V, E\}$ , a walk from vertex  $v_0$  to vertex  $v_n$  is a sequence

$$
W \coloneqq (v_0, v_1, v_2, \dots, v_n)
$$

where given a fixed i where  $0 \leq i \leq n-1$ , we have that  $v_i, v_{i+1}$  in the walk satisfy either  $(v_i, v_{i+1}) \in E$  or  $(v_{i+1}, v_i) \in E$ , but not both. A directed walk W from vertex u to vertex v is also called a  $u - v$  directed walk.

 $\Box$ 

Remark. Note that this is not the standard definition in graph theory. We allow the possibility that such a walk has a direction for some  $i$  and an opposite direction for some i.

**Definition 3.3** (Connected graph). A graph  $G := \{V, E\}$  is connected if for all  $u, v \in V$ , there is a  $u - v$  walk.

Definition 3.4 (Path). A path is a walk with no repeated edges and no repeated vertices.

Definition 3.5 (Cycle). A cycle is a path with the same initial and end vertices.

**Definition 3.6** (Tree). A graph  $G := \{V, E\}$  is a tree if it is a connected, simple graph with no cycle.

<span id="page-9-0"></span>Definition 3.7 (Directed tree). A tree is a directed tree if its underlying graph is a directed graph.

An equivalent definition for [Definition 3.7](#page-9-0) is as follows in [Proposition 3.1:](#page-9-1)

<span id="page-9-1"></span>**Proposition 3.1.** A directed tree is equivalent to a simple graph such that for any  $u, v$ vertices, there exists a  $u - v$  directed walk, and any  $u - v$  directed walk is either not a path or is a path with different initial and end vertices.

Definition 3.8 (Finite tree). A finite tree is a tree with a finite set of vertices.

<span id="page-9-2"></span>Definition 3.9 (Locally-finite decision tree). A locally-finite decision tree is a directed tree such that for  $v \in A \subseteq V$  (A is nonempty), there exists a unique neighborhood (thus, *decision*) consisted of  $n_v \in \mathbb{N}$  adjacent, neighboring vertices in V (thus, locally finite), denoted by  $S_v \coloneqq \{v_i\}_{i=1}^{n_v}$ , such that  $v_i \in S_v$  satisfies  $(v, v_i) \in E$ . We call  $v_i$ 's the successors of the vertex  $v$ .

Remark. Let us denote the *cardinality* of  $S_v$  as  $n_v$ . Note that the construction that for any  $v_i \in S_v$ ,  $(v, v_i) \in E$ , is so that there is a sense of direction flowing from v towards its successors  $v_i's$ .

#### <span id="page-9-3"></span>3.1.1 Proposed finite tree construction - finite tree with top

Let us construct a finite graph  $\Pi := (\mathcal{T}, E)$ , from knowing just  $\mathcal{T}$  (the set of vertices), by first constructing the edge set E. E is such that for any  $u \in \mathcal{T} \setminus \partial \mathcal{T}$  where  $\partial \mathcal{T} \subsetneq \mathcal{T}$  and is nonempty, there exists a unique neighborhood consisted of  $n_v \in \mathbb{N}$  adjacent, neighboring vertices in  $\mathcal{T}, S_v := \{v_i\}_{i=1}^{n_v}$ , such that  $v_i \in S_v$  satisfies  $(v, v_i) \in E$ . We again call  $v_i's$  the successors of the vertex v. Let  $\partial \mathcal{T} := \{v \in \mathcal{T} \mid (v, q) \notin E \text{ for all } q \in \mathcal{T} \}$ . Let us choose  $\alpha \in \mathcal{T} \setminus \partial \mathcal{T}$  such that any  $u \in \mathcal{T} \setminus \{\alpha\}$  is a successor of some  $v \in \mathcal{T} \setminus \partial \mathcal{T}$  where  $u \neq v$ . We call v the *predecessor* of u. Note that v is unique by the construction of the graph.

Note also that  $\alpha$  has no predecessor in T. To see this, for any  $u \in \mathcal{T} \setminus (\partial \mathcal{T} \cup \{\alpha\}) \subset$  $\mathcal{T}\setminus\partial\mathcal{T}$  (and also,  $\subseteq \mathcal{T}\setminus\{\alpha\}$ ),  $u \in \mathcal{T}\setminus\partial\mathcal{T}$  and u has some predecessor  $v \in \mathcal{T}\setminus\partial\mathcal{T}$ . Thus,



<span id="page-10-1"></span>Figure 1: Example of proposed finite tree construction

we have that for any  $u \in \mathcal{T} \setminus \partial \mathcal{T}$  such that  $u \notin \mathcal{T} \setminus (\partial \mathcal{T} \cup \{\alpha\})$ , u has no predecessor in  $\mathcal{T}\setminus \partial \mathcal{T}$ . The only such  $u \in \mathcal{T}\setminus \partial \mathcal{T}$  is  $\alpha$ . Thus,  $\alpha$  has no predecessor in  $\mathcal{T}\setminus \partial \mathcal{T}$ . Since for any  $b \in \partial \mathcal{T}$ , b has no successor. Thus,  $\alpha$  has no predecessor in  $\partial \mathcal{T}$ , then altogether has no predecessor in  $\mathcal{T}$ .

We see that given a constructed E and a choice of  $\alpha \in \mathcal{T} \setminus \partial \mathcal{T}$ , the constructed finite graph is exactly  $\Pi = \{ (\alpha = a_0^0, a_1^1, \dots, a_i^i, \dots, a_k^k) \mid a_k^k \in \partial \mathcal{T}, k \in \mathbb{N} ; (a_i, a_{i+1}) \in E, 0 \leq$  $i \leq k-1$ } where k will be determined by  $a_k^k$  which will be determined by E and  $\alpha$ . Let us call an element  $p \in \Pi$  a path. We understand k as the number of successions a p has beginning going down from  $\alpha$ ; let us call k the *level of* p, and we also say p has k levels, or p is with level k. We denote the subset of  $\Pi$  having all paths with  $k \in \mathbb{N}$  levels to be  $\Pi_k \coloneqq \{p \in \Pi \mid p \text{ has } k \text{ levels}\};$  we denote an element from  $\Pi_k$  by  $p_k$ .

<span id="page-10-0"></span>**Proposition 3.2.** The constructed graph  $\Pi := \{V, E\}$  is a directed, locally-finite decision tree as in [Definition 3.7](#page-9-0) and [Definition 3.9,](#page-9-2) respectively.

In light of [Proposition 3.2,](#page-10-0) we call the constructed finite graph  $\Pi := \{V, E\}$  finite tree with top, and  $p \in \Pi$  a path of the tree, and  $\alpha$  the top.

Let us illustrate the finite tree construction with an example in [Fig. 1.](#page-10-1) We see that given the constructed E based on the vertex set  $\mathcal{T}$ , and given the choice of  $\alpha$ , we have a set of paths with different levels that end at the boundary  $\partial \mathcal{T} = \{v_{11}, v_{121}, v_{1221}, v_{1222}, v_{1223}, v_{1224}, v_{21}, v_{22}, v_{234}\}$  $\alpha$  has no predecessors, any element in the boundary  $\partial \mathcal{T}$  has no successors, and the predecessor-successor relationship is unique.

#### 3.1.2 Infinite tree construction - infinite tree with top

<span id="page-10-2"></span>Since the tree is locally-finite, when the *level of*  $p \coloneqq k$  satisfies  $k \in \mathbb{N}$  for any  $p \in \Pi$ , the tree is finite as is the case with finite tree with top in [Section 3.1.1;](#page-9-3) when the level of p satisfies k is  $\infty$  for *some*  $p \in \Pi$ , the tree is *infinite*. In this case, we call the *infinite* tree infinite tree with top.

### 3.1.3 Special case of finite tree with top in [Section 3.1.1](#page-9-3) - with uniform base

In [Section 3.1.1,](#page-9-3) we see that we can construct one type of *finite*, *directed*, *locally-finite* decision tree with a specified vertex  $\alpha$ , which we call the top, and E, the edge set constructed from specified *decisions* for all vertices not on the boundary. The *finite tree with* top, Π, in [Section 3.1.1](#page-9-3) is fairly complicated to work with since it has the possibility of having different levels for all p. A simpler case would be if for any path p in the tree  $\Pi$ , its level is the same as the level of any other path. Let us denote the level of  $p$  as  $k$ . In this special case of  $\Pi$  of [Section 3.1.1,](#page-9-3) we have that any path p reaches the boundary  $\partial \mathcal{T}$  at  $k = n \in \mathbb{N}$ . We shall also define more notations for convenience. We call n the level of the tree. We denote  $\mathcal{T}_{i,j}$  to be the set of all vertices from and including level i to and including level j where  $0 \leq i \leq j \leq n$ , and  $\mathcal{T}_i$  the set of all vertices at level i where  $0 \leq i \leq n$ . Let us call this special case of *finite tree with top* in [Section 3.1.1](#page-9-3) *finite* tree with top and uniform base. Let us return to the original finite tree construction in [Section 3.1.1.](#page-9-3)

#### <span id="page-11-0"></span>3.2. Dirichlet problem on finite tree with top in [Section 3.1.1](#page-9-3)

Let us define a function:

$$
u \colon \mathcal{T} \to \mathbb{R} \tag{12}
$$

The gradient of function  $u(v)$ , the divergence of  $\nabla u(v)$ , the integral of  $u(v)$ , the  $L_2$ -norm are the same as Eq.  $(2)$ , Eq.  $(3)$ , Eq.  $(4)$ , Eq.  $(5)$ , respectively, in the case of infinite, locally-finite, undirected, simple graphs.

The Dirichlet problem on finite trees with top in [Section 3.1.1](#page-9-3) is the same as in the case of infinite, locally-finite, undirected, simple graphs in [Section 2.](#page-1-1) In the context of the tree's setup, the version of Dirichlet problem with the analogous discrete Laplacian and its corresponding operations is as follows:

Find  $u: \mathcal{T} \to \mathbb{R}$  such that for  $f: \partial \mathcal{T} \to \mathbb{R}$ 

$$
\begin{cases} \Delta u = 0, & \text{in } \mathcal{T} \setminus \partial \mathcal{T} \\ u = f, & \text{on } \partial \mathcal{T} \end{cases}
$$
 (13)

Remark.  $\partial \mathcal{T} = \mathcal{T}_n$ , and  $\mathcal{T} \setminus \partial \mathcal{T} = \mathcal{T}_{0,n-1}$ . Since a finite tree with top and uniform base in [Section 3.1.3](#page-10-2) is a special case of a finite tree with top in [Section 3.1.1.](#page-9-3) The Dirichlet problem works for the former as well.

### 3.3. Minimization of energy on finite tree with top in [Section 3.1.1](#page-9-3)

<span id="page-11-1"></span>The minimization of energy is similar to that in the case of infinite, locally-finite, undi-rected, simple graphs [\(Section 2.2.4\)](#page-4-1). However, the boundary of the tree  $\partial \mathcal{T}$  has no successors for the finite tree construction in [Section 3.1.1.](#page-9-3) With its setup in mind, let us define the energy functional associated with its Dirichlet problem [\(Section 3.2\)](#page-11-0).

**Definition 3.10** (Energy functional). Given  $f: \partial \mathcal{T} \to \mathbb{R}$ , the associated energy functional of a finite tree with top to its Dirichlet problem [\(Section 3.2\)](#page-11-0) is defined as:

$$
I[w] = \sum_{v \in \mathcal{T}_{0,n-1}} |\nabla w(v)|^2 \tag{14}
$$

where  $w \in \mathcal{A} \coloneqq \{w : \mathcal{T} \to \mathbb{R} \mid w = f \text{ on } \partial \mathcal{T} \}$ 

Following, the minimization of energy has the following setup:

$$
I[u] \coloneqq \min_{w \in \mathcal{A}} I[w] \tag{15}
$$

As in [Theorem 2.1,](#page-3-3) we want to utilize the minimization of the corresponding energy functional to find a solution to the corresponding Dirichlet problem. Let us do a *finite* tree with top and uniform base in [Section 3.1.3.](#page-10-2)

#### 3.3.1 Minimization of energy on finite tree with top and uniform base

In the case of a finite tree with top and uniform base, we do not have a straightforward theorem as in the case of an infinite, locally-finite, undirected, simple graph [\(Theorem 2.3\)](#page-7-0), so let us proceed with a problem.

<span id="page-12-0"></span>**Problem 3.1.** Given a boundary condition  $f : \partial \mathcal{T} \to \mathbb{R}$ , we want to know if  $u \in \mathcal{A}$  satisfies

$$
I[u] := \min_{w \in \mathcal{A}} I[w]
$$
  
where  $w \in \mathcal{A} := \{w : \mathcal{T} \to \mathbb{R} \mid w = f \text{ on } \partial \mathcal{T}\},$ 

then

$$
\Delta u = 0 \text{ in } \mathcal{T} \setminus \partial \mathcal{T};
$$

in other words, is u a solution to the Dirichlet problem on a finite tree with top and uniform base?

Remark. Suppose we are given a boundary condition  $f: \partial \mathcal{T} \to \mathbb{R}$ , and suppose  $u \in \mathcal{A}$ minimizes  $I[w]$  over all  $w \in \mathcal{A}$ , then for all  $w \in \mathcal{A}$ , we have  $I[u] \leq I[w]$ .

Let us fix a function  $\phi \colon \mathcal{T} \to \mathbb{R}$  such that  $\phi = 0$  on  $\partial \mathcal{T}$ . Thus, for all  $t \in \mathbb{R}$ , we have  $(u + t\phi)$ :  $\mathcal{T} \to \mathbb{R}$ , where  $(u + t\phi)(v) = u(v) + t\phi(v)$  for all  $v \in \mathcal{T}$ , and we have  $u + t\phi = u = f$  on  $\partial \mathcal{T}$ . Thus, for all  $t \in \mathbb{R}$ , we have  $u + t\phi \in \mathcal{A}$ . In consequence,

$$
I[u] \le I[u + t\phi] \quad \text{for all } t \in \mathbb{R}
$$

Let  $i(t) \coloneqq I[u + t\phi]$  for all  $t \in \mathbb{R}$ . Then,

$$
I[u] = i(0) \le I[u + t\phi] = i(t) \text{ for all } t \in \mathbb{R}
$$

Thus, we see that 0 is the point of absolute minimum for  $i(t)$  and that  $i'(0) = 0$ .

$$
i(t) = I[u + t\phi] = \sum_{v \in \mathcal{T}_{0,n-1}} |\nabla(u + t\phi)(v)|^2 = \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} [(u + t\phi)(v_i) - (u + t\phi)(v)]^2
$$
  
= 
$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} [(u(v_i) - u(v)) + t(\phi(v_i) - \phi(v))]^2 = \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} |\nabla u + t\nabla \phi|^2
$$

$$
i'(t) = \frac{d}{dt} \Big[ \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))^2 + \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} 2t(u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$
  
+ 
$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} t^2(\phi(v_i) - \phi(v))^2\Big]
$$
  
= 
$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} 2(u(v_i) - u(v))(\phi(v_i) - \phi(v)) + \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} 2t(\phi(v_i) - \phi(v))^2
$$

$$
i'(t)|_{t=0} = \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} 2(u(v_i) - u(v))(\phi(v_i) - \phi(v))
$$

Set  $i'(0) = 0$ :

$$
i'(0) = \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))(\phi(v_i) - \phi(v)) = \sum_{v \in \mathcal{T}_{0,n-1}} \nabla u \cdot \nabla \phi = 0
$$

Thus,

$$
\sum_{v \in \mathcal{T}_{0,n-1}} \nabla u \cdot \nabla v = \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))\phi(v_i) - \sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))\phi(v) = 0
$$
\n
$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))\phi(v_i) - \sum_{v \in \mathcal{T}_{0,n-1}} \phi(v)\Delta u = 0 \tag{16}
$$

<span id="page-13-1"></span>Theorem 3.1. From [Problem 3.1,](#page-12-0) we obtain:

<span id="page-13-0"></span>
$$
\Delta u(v) = \begin{cases} 0, & v \in \mathcal{T}_0 \\ u(v) - u(\hat{v}), & v \in \mathcal{T}_k, \ 1 \le k \le n - 1 \end{cases}
$$
 (17)

where  $\hat{v}$  is the unique predecessor of  $v$ .

*Proof.* Continuing from [Problem 3.1,](#page-12-0) let us utilize function  $\phi$ .

Given  $\bar{v} \in \mathcal{T}_{0,n-1},$  let

$$
\phi(v) = \begin{cases} 1, & v = \bar{v} \\ 0, & v \neq \bar{v} \end{cases}
$$
 (18)

Let us investigate [Eq. \(16\):](#page-13-0)

$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))\phi(v_i) - \sum_{v \in \mathcal{T}_{0,n-1}} \phi(v)\Delta u = 0
$$

and denote

$$
\sum_{v \in \mathcal{T}_{0,n-1}} \sum_{i=1}^{n_v} (u(v_i) - u(v))\phi(v_i) = I \qquad \qquad \sum_{v \in \mathcal{T}_{0,n-1}} \phi(v)\Delta u = II
$$

Case  $\bar{v} \in \mathcal{T}_0$ :

Given  $\bar{v} \in \mathcal{T}_0$ , for  $v \neq \bar{v}$ , we have  $\phi(v) = 0$ . Since  $v_i$  in I are successors of any  $v \in \mathcal{T}_{0,n-1}$ , they are from  $\mathcal{T}_{1,n}$ , so  $v_i \notin \mathcal{T}_0$  and  $\phi(v_i) \equiv 0$ . Thus, I = 0.  $\bar{v} \in \mathcal{T}_0$  is the only element in  $\mathcal{T}_0$ , and the only element in  $\mathcal{T}_{0,n-1}$  such that  $\phi(\bar{v}) = 1$ , and for all  $v \neq \bar{v}$ , we have  $\phi(v) = 0$ . Thus, II =  $\Delta u$ . Thus,  $\Delta u = 0$ . Case  $\bar{v} \in \mathcal{T}_{n-1}$ :

we are given that  $\bar{v} \in \mathcal{T}_{n-1}$ , and for any  $v \neq \bar{v}$ , we have  $\phi(v) = 0$ . We know  $\phi(v) = 0$  on  $\mathcal{T}_n$ , and we have the successors of  $\bar{v}$  to be in  $\mathcal{T}_n$ . Additionally, for all  $v_i \in \mathcal{T}_{1,n-2}, v_i \neq \overline{v} \in \mathcal{T}_{n-1}$ , so  $\phi(v_i) = 0$ .  $v_i \in \mathcal{T}_{n-1}$  such that  $v_i = \overline{v}$  satisfies  $\phi(\overline{v}) = 1$ , and  $v_i \in \mathcal{T}_{n-1}$  such that  $v_i \neq \overline{v}$  satisfies  $\phi(v_i) = 0$ . Denote  $\hat{v}$  to be the predecessor of v. Thus, we have  $I = u(\bar{v}) - u(\hat{\bar{v}})$ . Since for any  $v \neq \bar{v}$ , we have  $\phi(v) = 0$ . Thus, we have  $II = \Delta u(\bar{v})$ . Thus,  $\Delta u(\bar{v}) = u(\bar{v}) - u(\hat{\bar{v}})$ . Case  $\bar{v} \in \mathcal{T}_k$ ,  $1 \leq k \leq n-2$ :

The proof is the same to the case of  $\overline{v} \in \mathcal{T}_{n-1}$ . Note that when  $k = 1$ , we still obtain that I =  $u(\bar{v}) - u(\hat{\bar{v}})$  because  $\hat{\bar{v}} \in \mathcal{T}_0$  will still be in the tree. We get a similar result as  $\Box$ the previous case.

We are now ready to investigate the possibility of the minimizer being harmonic.

<span id="page-14-0"></span>Theorem 3.2. If the minimizer of the energy functional defined in [Definition 3.10](#page-11-1) is a solution to the Dirichlet problem of a finite tree with top and uniform base defined in [Section 3.1.3,](#page-10-2) then  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\frac{a_{i1}J(v_i)}{n_v}$  is constant for all  $v \in \mathcal{T}_{n-1}$ .

*Proof.* It suffices to prove that if the minimizer u is harmonic, i.e.,  $\Delta u(v) = 0$  for all  $v \in \mathcal{T} \setminus \partial \mathcal{T} = \mathcal{T}_{0,n-1}$ , then  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n_v}$  $\frac{z_1 J(v_i)}{n_v}$  is constant for all  $v \in \mathcal{T}_{n-1}$ .

Suppose the minimizer u is harmonic, i.e.,  $\Delta u(v) = 0$  for all  $v \in \mathcal{T}_{0,n-1}$ . From [Theorem 3.1,](#page-13-1) we know that  $\Delta u(v) = u(v) - u(\hat{v})$  for all  $v \in \mathcal{T}_{1,n-1}$ . Thus, u is constant on any path in the tree from the top vertex to level  $n-1$ . Since every path starts at the top vertex, this yields that u is constant for all  $v \in \mathcal{T}_{0,n-1}$ . In particular, u is constant on  $\mathcal{T}_{n-1}$ . Additionally,  $\Delta u(v) = 0$  for all  $v \in \mathcal{T}_{n-1}$ . Thus, we know that  $u(v) = \frac{\sum_{i=1}^{n_v} f(v_i)}{n_v}$  $rac{1}{n_v}$  is constant for all  $v \in \mathcal{T}_{n-1}$ .  $\Box$ 

*Remark.* The converse of [Theorem 3.2](#page-14-0) says that if the given boundary condition  $f$  is such that  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\overline{n_v}^{(1)}$  is not constant for some  $v \in \mathcal{T}_{n-1}$ , then the minimizer is not a solution to the Dirichlet problem.

<span id="page-15-0"></span>Lemma 3.3. The sufficient condition in [Theorem 3.2](#page-14-0) is not true when the constant  $\sum_{i=1}^{n_v} f(v_i)$  $\frac{u_{i1}J(v_i)}{n_v} \neq u(\hat{v})$  for all  $\hat{v} \in \mathcal{T}_{n-2}$ .

*Proof.* Suppose  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\frac{1}{n_v} I^{(v_i)}$  is constant for all  $v \in \mathcal{T}_{n-1}$ . We already know that the minimizer u is such that  $\Delta u(v) = 0$  when  $v \in \mathcal{T}_0$  and  $\Delta u(v) = u(v) - u(\hat{v})$  when  $v \in \mathcal{T}_{1,n-1}$ , so let us investigate the latter. When  $v \in \mathcal{T}_{1,n-1}$ 

$$
\Delta u(v) = u(v) - u(\hat{v})
$$
  

$$
\sum_{i=1}^{n_v} (u(v_i) - u(v)) = u(v) - u(\hat{v})
$$
  

$$
\sum_{i=1}^{n_v} u(v_i) - n_v u(v) = u(v) - u(\hat{v})
$$
  

$$
u(v) = \frac{\sum_{i=1}^{n_v} u(v_i) + u(\hat{v})}{n_v + 1}
$$

When  $v \in \mathcal{T}_{n-1}$ , we have

$$
u(v) = \frac{\sum_{i=1}^{n_v} f(v_i) + u(\hat{v})}{n_v + 1}
$$

Let us denote  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\frac{c_1 J(v_i)}{n_v} = C.$ 

$$
= \frac{Cn_v + u(\hat{v})}{n_v + 1} = \frac{Cn_v}{n_v + 1} + \frac{u(\hat{v})}{n_v + 1}
$$

$$
= C - \frac{C}{n_v + 1} + \frac{u(\hat{v})}{n_v + 1}
$$

$$
= C + \frac{u(\hat{v}) - C}{n_v + 1}
$$

$$
= \frac{\sum_{i=1}^{n_v} u(v_i)}{n_v} + \frac{u(\hat{v}) - C}{n_v + 1}
$$

Since  $C \neq u(\hat{v})$  for all  $\hat{v} \in \mathcal{T}_{n-2}$ , we have

 $\Delta u(v) \neq 0$  for all  $v \in \mathcal{T}_{n-1}$ .

Thus, the sufficient condition is not true.

From [Theorem 3.2](#page-14-0) and [Lemma 3.3,](#page-15-0) we obtain the following:

Corollary 3.3.1. The minimizer of the energy functional defined in [Definition 3.10](#page-11-1) is a solution to the Dirichlet problem of a finite tree with top and uniform base defined in [Section 3.1.3](#page-10-2) if and only if  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\sum_{n_v}^{\infty} f(v_i)$  is constant for all  $v \in \mathcal{T}_{n-1}$  and  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n_v}$  $\frac{u_{i1}^{\{1\}(v_i)}{n_v}=u(\hat{v})$ for all  $\hat{v} \in \mathcal{T}_{n-2}$ .

 $\Box$ 

*Proof.* Suppose the minimizer u is harmonic, i.e.,  $\Delta u(v) = 0$  for all  $v \in \mathcal{T}_{0,n-1}$ . From [Theorem 3.2,](#page-14-0) we know that  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n}$  $\overline{u_n}^{(1)}(v_i)} = u(v)$  and is constant for all  $v \in \mathcal{T}_{n-1}$ , but we also know that u is constant for all  $v \in \mathcal{T}_{0,n-1}$ . Thus, we also have  $\frac{\sum_{i=1}^{n_v} f(v_i)}{n_v}$  $u_{n_v}^{(i_1 \cup (v_i))} = u(\hat{v})$  for all  $\hat{v} \in \mathcal{T}_{n-2}.$ 

From [Lemma 3.3,](#page-15-0) we know that the sufficient condition is true.

# 4. Uniqueness of solutions to Dirichlet problems

We investigate uniqueness of a solution, if it exists, for the Dirichlet problems in the case of an infinite, locally-finite, undirected, simple graph in [Section 2,](#page-1-1) and of a finite tree with top and its special case, a finite tree with top and uniform base in [Section 3.](#page-8-0) Both cases share the same Dirichlet problem.

<span id="page-16-0"></span>**Lemma 4.1.** Let  $u: V \to \mathbb{R}$ ,

if graph  $G(V, E)$  is connected and

$$
\begin{cases} \Delta u = 0, & in \ V \setminus \partial V \\ u = 0, & on \ \partial V \end{cases}, \tag{19}
$$

 $\Box$ 

then  $u \equiv 0$ .

*Proof.* We do a proof by contradiction. Suppose there exists  $v \in V$  such that  $u(v) > 0$ , then we have

$$
M = \max\{u(v) \mid v \in V\} > 0
$$

Since  $u = 0$  on  $\partial V$ , we have

$$
M = u(v_\star), \text{ for some } v_\star \in V \setminus \partial V.
$$

Since  $\Delta u = 0$  on  $V \setminus \partial V$ , we have  $\Delta u(v_*) = 0$ , then  $M = u(v_\star) =$  $\sum_{i=1}^{n_{v_{\star}}} u(v_{\star_i})$  $n_{v_\star}$ 

we then have

$$
n_{v_{\star}}M = \sum_{i=1}^{n_{v_{\star}}} u(v_{\star_i})
$$

$$
n_{v_{\star}}M - \sum_{i=1}^{n_{v_{\star}}} u(v_{\star_i}) = 0
$$

$$
\sum_{i=1}^{n_{v_{\star}}} (M - u(v_{\star_i})) = 0
$$

Since  $M - u(v_{\star_i}) \geq 0$ , we have

$$
M - u(v_{\star_i}) = 0, \ i \in \{1 \dots n_v \star\}
$$

then

$$
u(v_{\star_i})=M, \ i\in\{1\ldots n_v\star\},
$$

that is,

$$
u(v) = M, \ v \in S_v \star
$$

Because the graph is connected, we obtain

$$
u(v) = M > 0, \text{ for any } v \in V.
$$

However,  $u(v) = 0$  on  $\partial V$ . Thus, we have a contradiction.

The case where we suppose there exists  $v \in V$  such that  $u(v) < 0$  is similar.

 $\Box$ 

**Theorem 4.2** (Uniqueness of solutions). A solution to the Dirichlet problem on a connected graph, if it exists, is unique.

*Proof.* Suppose  $u_1$  and  $u_2$  are both solutions to the Dirichlet problem, then we have:

$$
\begin{cases} \Delta u_1 = 0, & \text{in } V \setminus \partial V \\ u_1 = f, & \text{on } \partial V \end{cases} \qquad \begin{cases} \Delta u_2 = 0, & \text{in } V \setminus \partial V \\ u_2 = f, & \text{on } \partial V \end{cases}
$$

This implies that  $u_1 = u_2 = f$  on  $\partial V$ , which implies that  $u_1 - u_2 = 0$  on  $\partial V$ . Let us consider  $(u_1 - u_2)(v)$  as a function on V. Thus, the function  $(u_1 - u_2) = 0$  on  $\partial V$  and that  $\Delta u_1 - \Delta u_2 = 0$  on  $V \setminus \partial V$ , that is,  $\Delta (u_1 - u_2) = 0$  on  $V \setminus \partial V$ . Thus,  $u_1 - u_2$  is a solution to the Dirichlet problem with boundary condition  $g = 0$  on  $\partial V$ . Let us write it out explicitly.

$$
\begin{cases} \Delta(u_1 - u_2) = 0, & \text{in } V \setminus \partial V \\ u_1 - u_2 = 0, & \text{on } \partial V \end{cases}
$$

By [Lemma 4.1,](#page-16-0) we know that  $u_1-u_2=0$  for all  $v \in V$ , that is,  $u_1 \equiv u_2$ . Thus, a solution,  $\Box$ if exists, is unique.

# 5. Conclusion

The distinction between directed and undirected graphs influences the ability of the method of minimizing energy functional to find a solution to their boundary-value problems. In the first case with an infinite, locally-finite, undirected simple graph, we are able to utilize the undirectness of two vertices in the minimization of the graph's energy functional to find a solution to its boundary-value problem. In the case of a finite, directed, locally-finite decision tree, we have no such tool. We are, however, able to find that the minimization of energy functional yields that, if restricted to only the top vertex of the tree, the minimizer is harmonic. In addition, we find that the minimization yields

a solution to the tree's boundary value problem if and only if some additional conditions close and on the boundary are satisfied. The conditions are satisfied through some combination of the given boundary condition and the unknown function on the tree. Since both criteria are arbitrary, it is unlikely in practice that the minimizer is a solution to the tree's boundary value problem. It suggests that to understand the solutions to such a tree, one might solve it directly without energy minimization. Furthermore, we find that the solutions to the Dirichlet problem are unique for connected graphs.

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